



Name(s) and address of Non-registered holder(s):  
非登記持有人之姓名及地址：

## Request Form 申請表格

To: **Haitong Securities Co., Ltd.\* (the “Company”)**  
(Stock Code: 6837)  
c/o Computershare Hong Kong Investor Services Limited  
17M Floor, Hopewell Centre, 183 Queen’s Road East,  
Wanchai, Hong Kong

致：海通證券股份有限公司（「公司」）  
（股份代號：6837）  
經香港中央證券登記有限公司  
香港灣仔皇后大道東183號合和中心17M樓

I/We would like to receive the Current Corporate Communication and future corporate communications<sup>#</sup> of the Company in printed form in the manner indicated below:

本人／我們希望以下列方式收取本次公司通訊及將來公司通訊<sup>#</sup>之印刷本：

(Please mark **X** in **ONLY ONE** of the following boxes)  
(請從下列選擇中，僅在其中一個空格內劃上「X」號)

- ☐ in the **English language ONLY**; OR  
僅收取英文本；或
- ☐ in the **Chinese language ONLY**; OR  
僅收取中文本；或
- ☐ both in the **English language and in the Chinese language**.  
同時收取英文及中文本。

Contact telephone number 聯絡電話號碼

Signature(s) 簽名

Notes 附註：

- Please complete all your details clearly. Please specify your name and address clearly in CAPITAL LETTERS IN ENGLISH on the top left hand corner in this Request Form if you downloaded this form from the web.  
請閣下清楚填妥所有資料。倘若閣下從網上下載本申請表格，請於本表格左上方用英文正楷清楚註明閣下的姓名及地址。
  - This Request Form is to be completed by non-registered holders (a “non-registered holder” means such person or company whose shares in the Company are held in the Central Clearing and Settlement System (CCASS) and who has notified the Company from time to time through Hong Kong Securities Clearing Company Limited that such person or company wishes to receive corporate communications).  
本函應由公司之非登記持有人（「非登記持有人」指將持有的公司股份存放於中央結算及交收系統（「中央結算系統」）的人士或公司，透過香港中央結算有限公司不時向公司發出通知表示該等人士或公司希望收到公司通訊）填妥。
  - Any form with more than one box marked X, with no box marked X, with no signature or otherwise incorrectly completed will be void.  
如在本表格作出超過一項選擇、或未有作出選擇、或未有簽署、或在其他方面填寫不正確，則本表格將會作廢。
  - The above instruction will apply to all the Company’s corporate communications to be sent to you (including the Current Corporate Communication and all future corporate communications) until you notify to the Company c/o the Company’s H Share Registrar in Hong Kong, Computershare Hong Kong Investor Services Limited, to the contrary or unless you have at anytime ceased to have shareholdings in the Company.  
上述指示適用於發送予閣下之所有公司通訊（包括本次公司通訊及所有將來之公司通訊），直至閣下通知公司之H股證券登記處香港中央證券登記有限公司另外之安排或任何時候停止持有公司的股份。
  - For the avoidance of doubt, we do not accept any special instructions written on this Request Form.  
為免存疑，任何在本申請表格上的額外手寫指示，公司將不予處理。
- # Corporate communications are all documents issued by the Company to holders of its securities for their information or action and include (but are not limited to) full and summary annual and interim financial reports (and all reports and accounts contained in them), notices of meetings, listing documents, circulars, forms of proxy and reply slips.  
公司通訊乃公司向其證券持有人發出以供參照或採取行動的任何文件，包括但不限於年度和中期財務報告及其摘要報告（及其中包含的所有報告及帳目）、會議通告、上市文件、通函、代表委任表格及回條。

\* For identification purpose only 僅供識別



閣下寄回此申請表格時，請將郵寄標籤剪貼於信封上。  
如在本港投寄毋須貼上郵票。

Please cut the mailing label and stick it on the envelope  
in order to return this Request Form to us.  
No postage stamp necessary if posted in Hong Kong.

### 郵寄標籤 MAILING LABEL

香港中央證券登記有限公司  
Computershare Hong Kong Investor Services Limited  
簡便回郵號碼37 Freepost No. 37  
香港 Hong Kong