



招商证券股份有限公司
China Merchants Securities Co., Ltd.

(A joint stock company incorporated in the People's Republic of China with limited liability)

(Stock Code: 6099)

LIST OF DIRECTORS AND THEIR ROLES AND FUNCTIONS

The members of the board of directors (the “**Board**”) of the Company are set out below.

Executive Directors

Mr. HUO Da (*Chairman of the Board*)

Mr. XIONG Jiantao (*President*)

Non-executive Directors

Ms. SU Min

Mr. SU Jian

Mr. XIONG Xianliang

Ms. PENG Lei

Mr. HUANG Jian

Mr. WANG Daxiong

Mr. WANG Wen

Independent Non-executive Directors

Mr. XIANG Hua

Mr. XIAO Houfa

Mr. XIONG Wei

Mr. HU Honggao

Mr. WONG Ti

There are five Board committees. The table below provides membership information of the committees:

Director \ Committee	Strategy Committee	Risk Management Committee	Audit Committee	Nomination Committee	Remuneration and Appraisal Committee
Mr. HUO Da	C				
Mr. XIONG Jiantao	M	M			
Ms. SU Min		C			M
Mr. SU Jian		M	M		
Mr. XIONG Xianliang	M				
Ms. PENG Lei		M		M	M
Mr. HUANG Jian			M		
Mr. WANG Daxiong	M	M			
Mr. WANG Wen	M	M			
Mr. XIANG Hua	M	M			C
Mr. XIAO Houfa			C	M	
Mr. XIONG Wei				C	M
Mr. HU Honggao			M	M	
Mr. WONG Ti			M		M

Notes:

C: Chairman; M: Member

Shenzhen, the PRC, August 21, 2019