

Monthly Return of Equity Issuer on Movements in Securities

For the month ended (dd/mm/yyyy	31/01/2016		
To: Hong Kong Exchanges and Clea	ring Limited		
	C Holdings plc		
Date Submitted 3 Feb	oruary 2016		
I. Movements in Authorised Share C	apital		
1. Ordinary Shares			
(1) Stock code : Des	cription :	Ordinary	Shares
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month	N/A (Remark 1)		N/A (Remark 1)
Increase/(decrease)			
Balance at close of the month	N/A (Remark 1)		N/A (Remark 1)
(2) Stock code : N/A Des	cription :		
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month			
Increase/(decrease)		_	
Balance at close of the month			

\sim	D C	C1
,	Preference	e Sharec

Stock code : N/A	Descriptio	,	mulative Preference Mulative Series A	ce Shares Sterling Preference Share
		No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding	month	N/A (Remark 1)		N/A (Remark 1)
Increase/(decrease)	_			
Balance at close of the month	_	N/A (Remark 1)		N/A (Remark 1)
3. Other Classes of Shares				
Stock code: N/A	Descriptio	n:		
		No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding	month			
Increase/(decrease)				
Balance at close of the month	_			
Total authorised share capital currency):	at the end of t	the month (State		N/A (Remark 1)

II. Movements in Issued Share Capital

	No. of ordina	ry shares	No of preference	No. of other classes of
	(1)	(2)	shares	shares
Balance at close of	19,685,096,934		a) 1,450,000 (US\$0.01)	
preceding month	(US\$0.50)	N/A	b) 1 (£0.01)	N/A
Increase/ (decrease)	1,171,386			
during the month	(US\$0.50)	N/A	N/A	N/A
Balance at close of the	19,686,268,320		a) 1,450,000 (US\$0.01)	
month	(US\$0.50)	N/A	b) 1 (£0.01)	N/A

III. Details of Movements in Issued Share Capital

Share Options (under Share Option Schemes of the Issuer)

Particulars of share option scheme including EGM approval date (dd/mm/yyyy) and class of shares issuable		Movement durin				No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	Granted	Exercised	Cancelled	Lapsed		
1. HSBC Holdings						
Executive Share						
Option Scheme						
Ordinary shares						
(Note 1)						
2. HSBC Holdings Savings-Related Share Option Plans						
Ordinary shares		1,170,424		462,141	1,170,424	73,142,130
(Note 1)		1,170,424		402,141	1,170,424	75,142,130
3. HSBC Holdings Group Share Option Plan (/ /) Ordinary shares (Note 1)						
4. HSBC Share Plan (/ /) Ordinary shares						
(Note 1)		T_{c}	otal A. (Ordi	nary charact	1,170,424	
		10	,	ence shares)		
			·	Other class)		
			•			
Total funds raised during the n options (State currency)	onth from exe	rcise of		06.62, HK\$3 63.03 and €1		

Warrants to Issue Shares of the Is	suer which are t	o be Listed					
Description of warrants (Date of expiry - dd/mm/yyyy)	Currency of nominal value	Nominal va close of preceding i	of du	xercised uring the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A	_						
	_						
Stock code (if listed)							
Class of shares							
issuable (Note 1)							
Subscription price EGM approval date							
(if applicable)							
(dd/mm/yyyy)	(/ /)					
2. N/A	_						
	_						
(/ /)							
Stock code (if listed)							
Class of shares issuable (<i>Note 1</i>)							
Subscription price							
EGM approval date							
(if applicable)	(,					
(dd/mm/yyyy) 3. N/A	(/ /)					
3. 1771	_						
	_ 						
Stock code (if listed) Class of shares							
issuable (Note 1)							
Subscription price							
EGM approval date (if applicable)							
(dd/mm/yyyy)	(/ /)					
4. N/A	_						
	_						
(/ /)	<u> </u>				<u> </u>		-
Stock code (if listed)							
Class of shares							
issuable (<i>Note 1</i>) Subscription price							
EGM approval date							
(if applicable)							
(dd/mm/yyyy)	(/ /)					
			Total B.	(Ordi	nary shares)	N/A	
				(Prefere	ence shares)	N/A	-
				(Other class)	N/A	-

Stock code (if listed) Class of shares issuable (Note 1) Conversion EGM approval date (if applicable) (dd/mm/yyyy) 2. US\$2,250,000,000 6.375% Perpetual Subordinated Contingent Convertible Securities (Remark 3) Stock code (if listed) Class of shares issuable (Note 1) Conversion EGM approval date (if applicable) (dd/mm/yyyy) (IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII	€ N/A ary Share 7514 per ary Share N/A) US\$ N/A ary Share 35578 pe	2,250,00 es					<u>444,426,008</u> <u>516,555,014</u>
Contingent Convertible Securities (Remark 2) Stock code (if listed) Class of shares issuable (Note 1) Ordina Conversion €3.37 Subscription price Ordina EGM approval date (if applicable) (dd/mm/yyyy)) (I 2. US\$2,250,000,000 6.375% Perpetual Subordinated Contingent Convertible Securities (Remark 3) L Class of shares issuable (Note 1) Ordina Conversion US\$4.3 Subscription price Ordina EGM approval date (if applicable) (dd/mm/yyyy) (I 3. US\$1,500,000,000 5.625% Perpetual Subordinated Contingent Convertible Securities (Remark 4) L U Stock code (if listed) I Ordina Class of shares issuable (Note 1) Ordina Conversion US\$4.3 Ordina Subscription price Ordina Ordina EGM approval date Ordina Ordina	N/A ary Share 7514 per ary Share N/A) US\$ N/A ary Share 35578 pe	2,250,0 es					
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Stock code (if listed) Class of shares issuable (Note 1) Conversion EGM approval date (if applicable) (dd/mm/yyyy) 2. US\$2,250,000,000 6.375% Perpetual Subordinated Contingent Convertible Securities (Remark 3) Stock code (if listed) Class of shares issuable (Note 1) Conversion EGM approval date (if applicable) (dd/mm/yyyy) (IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII	N/A ary Share 7514 per ary Share N/A) US\$ N/A ary Share 35578 pe	2,250,0 es					
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Subscription price Ordina EGM approval date (if applicable) (dd/mm/yyyy)) (I) 2. US\$2,250,000,000 6.375% Perpetual Subordinated Contingent Convertible Securities (Remark 3) U Stock code (if listed) Ordina Conversion US\$4 Subscription price Ordina EGM approval date (if applicable) (dd/mm/yyyy) (I) 3. US\$1,500,000,000 5.625% Perpetual Subordinated Contingent Convertible Securities (Remark 4) U Stock code (if listed) I Class of shares issuable (Note 1) Ordina Class of shares issuable (Note 1) Ordina Conversion US\$4 Subscription Class of shares issuable (Note 1) Ordina Conversion US\$4 Subscription Sub	N/A) US\$ N/A Ary Share 35578 pe	2,250,00 es	00,000		2,250,000,000		<u>516,555,014</u>
EGM approval date (if applicable) (dd/mm/yyyy)) 2. US\$2,250,000,000 6.375% Perpetual Subordinated Contingent Convertible Securities (Remark 3) Stock code (if listed) Class of shares issuable (Note 1) Conversion Conversion EGM approval date (if applicable) (dd/mm/yyyy) 3. US\$1,500,000,000 5.625% Perpetual Subordinated Contingent Convertible Securities (Remark 4) Stock code (if listed) Class of shares issuable (Note 1) Conversion US\$4.: Ordinated Contingent Convertible Securities (Remark 4) Class of shares issuable (Note 1) Conversion US\$4.: Ordinated Conversion US\$4.: Ordinated Conversion US\$4.: Ordinated Conversion US\$4.:	JS\$ N/A ary Share 35578 pe	2,250,0 es er	00,000		2,250,000,000		516,555,014
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2. US\$2,250,000,000 6.375% Perpetual Subordinated Contingent Convertible Securities (Remark 3) Stock code (if listed) Class of shares issuable (Note 1) Conversion EGM approval date (if applicable) (dd/mm/yyyy) 3. US\$1,500,000,000 5.625% Perpetual Subordinated Contingent Convertible Securities (Remark 4) Stock code (if listed) Class of shares issuable (Note 1) Conversion US\$4. Ordinated Contingent Convertible Securities (Remark 4) Class of shares issuable (Note 1) Conversion US\$4. Ordinated Conversion US\$4. Ordinated Conversion Conversion US\$4.	JS\$ N/A ary Share	es er	00,000	<u></u>	2,250,000,000		<u>516,555,014</u>
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Contingent Convertible Securities (Remark 3) Stock code (if listed) Class of shares issuable (Note 1) Conversion Conversion price EGM approval date (if applicable) (dd/mm/yyyy) 3. US\$1,500,000,000 5.625% Perpetual Subordinated Contingent Convertible Securities (Remark 4) Stock code (if listed) Class of shares issuable (Note 1) Conversion Conversion Condinated Contingent Convertible Securities (Remark 4) Class of shares issuable (Note 1) Conversion Conversion Condinated Condinated Contingent Convertible Class of shares issuable (Note 1) Conversion Conversion Conversion Condinated Condinated Condinated Conversion Conversion Conversion Conversion Conversion Conversion Condinated Condinated Conversion	N/A ary Share 35578 pe	es er	00,000		2,250,000,000	. <u></u>	516,555,014
Securities (Remark 3) Stock code (if listed) Class of shares issuable (Note 1) Conversion Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 3. US\$1,500,000,000 5.625% Perpetual Subordinated Contingent Convertible Securities (Remark 4) Stock code (if listed) Class of shares issuable (Note 1) Conversion Subscription price EGM approval date Ordinated Contingent Convertible Securities (Remark 4) Class of shares issuable (Note 1) Conversion Conversion Subscription price EGM approval date	N/A ary Share 35578 pe	es er	00,000		2,250,000,000	. 	516,555,014
Stock code (if listed) Class of shares issuable (Note 1) Conversion Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 3. US\$1,500,000,000 5.625% Perpetual Subordinated Contingent Convertible Securities (Remark 4) Stock code (if listed) Class of shares issuable (Note 1) Conversion Subscription price EGM approval date	N/A ary Share 35578 pe	es er	00,000		2,250,000,000		516,555,014
Class of shares issuable (Note 1) Ordina Conversion US\$4. Subscription price Ordina EGM approval date (if applicable) (dd/mm/yyyy) (1 3. US\$1,500,000,000 5.625% Perpetual Subordinated Contingent Convertible Securities (Remark 4) UStock code (if listed) Class of shares issuable (Note 1) Ordina Conversion US\$4. Subscription price Ordina EGM approval date	ary Share 35578 pe	er					
issuable (Note 1) Ordina Conversion US\$4 Subscription price Ordina EGM approval date (if applicable) (dd/mm/yyyy) (I 3. US\$1,500,000,000 5.625% Perpetual Subordinated Contingent Convertible Securities (Remark 4) UStock code (if listed) Class of shares issuable (Note 1) Ordina Conversion US\$4 Subscription price Ordina EGM approval date	35578 pe	er					
Conversion US\$4.: Subscription price Ordina EGM approval date (if applicable) (dd/mm/yyyy) (I 3. US\$1,500,000,000 5.625% Perpetual Subordinated Contingent Convertible Securities (Remark 4) UStock code (if listed) Class of shares issuable (Note 1) Ordina Conversion US\$4.: Subscription price Ordina EGM approval date	35578 pe	er					
Subscription price Ordina EGM approval date (if applicable) (dd/mm/yyyy) (I 3. US\$1,500,000,000 5.625% Perpetual Subordinated Contingent Convertible Securities (Remark 4) U Stock code (if listed) I Class of shares issuable (Note 1) Ordina Conversion US\$4 Subscription price Ordina EGM approval date							
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(dd/mm/yyyy) (I 3. US\$1,500,000,000 5.625% Perpetual Subordinated Contingent Convertible Securities (Remark 4) U Stock code (if listed) I Class of shares issuable (Note 1) Ordina Conversion US\$4.3 Subscription price Ordina EGM approval date							
3. US\$1,500,000,000 5.625% Perpetual Subordinated Contingent Convertible Securities (Remark 4) Stock code (if listed) Class of shares issuable (Note 1) Conversion Conversion Subscription price EGM approval date							
Perpetual Subordinated Contingent Convertible Securities (Remark 4) Stock code (if listed) Class of shares issuable (Note 1) Conversion Subscription EGM approval date Continued Conversion US\$4.	N/A)						
Contingent Convertible Securities (Remark 4) Stock code (if listed) Class of shares issuable (Note 1) Conversion Subscription price EGM approval date							
Securities (Remark 4) Stock code (if listed) Class of shares issuable (Note 1) Conversion Subscription price EGM approval date							
Stock code (if listed) Class of shares issuable (Note 1) Conversion Subscription price EGM approval date							
Class of shares issuable (Note 1) Conversion Subscription price EGM approval date Ordina Ordina	JS\$	1,500,0	00,000		1,500,000,000	<u> </u>	344,370,009
issuable (Note 1) Conversion Subscription price EGM approval date Ordina Ordina	N/A						
Conversion US\$4 Subscription price Ordina EGM approval date	ry Share	es					
Subscription price Ordina EGM approval date							
	ary Shar						
(if applicable)							
	N/A)						
4. US\$2,450,000,000 6.375%							
Perpetual Subordinated							
Contingent Convertible	TOO	2 450 0	00 000		2 450 000 000		607 205 150
	JS\$ N/A	2,450,0	00,000		2,450,000,000		607,205,170
Class of shares	1/ 🔼						
	ry Share	es					
Conversion US\$4.	03488 pe	er					
	ary Shar	re					
EGM approval date							
(if applicable) (dd/mm/yyyy) (l	N/A)						
(100/11111//9999)							

	Currency of amount	Amount at close of preceding	Converted during the	Amount at close of the	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
Class and description	outstanding	month	month	month		
5. EUR1,000,000,000 6.00%	<u> </u>					
Perpetual Subordinated	_					
Contingent Convertible Securities (Remark 6)	_ €	1,000,000,000		1,000,000,000		267 605 220
Stock code (if listed)		1,000,000,000		1,000,000,000		267,695,330
Class of shares issuable (Note 1)	Ordinary Shares	3				
Conversion	€3.73559 per					
Subscription price EGM approval date (if applicable)	Ordinary Share					
(dd/mm/yyyy))	(N/A)					
6. N/A						
	_					
Stock code (if listed)	- 					
Class of shares						
issuable (Note 1)						
Conversion Subscription price						
EGM approval date						
(if applicable)						
(dd/mm/yyyy)	(/ /)					
7. N/A	_					
	_					
	<u> </u>					
Stock code (if listed)					· 	
Class of shares						
issuable (<i>Note 1</i>) Conversion						
Subscription price						
EGM approval date						
(if applicable)						
(dd/mm/yyyy) 8. N/A	(/ /)					
6. IV/A	<u> </u>					
	_					
Stock code (if listed)						
Class of shares						
issuable (Note 1)						
Conversion Subscription price						
EGM approval date						
(if applicable)						
(dd/mm/yyyy)	(/ /)		T . 1 C . (0 "		NT/A	
			Total C. (Ordi	inary shares) ence shares)	N/A N/A	-
			,	(Other class)	N/A	. -

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

tilali (under Share Option Schemes)		
if ap	particulars including EGM approval date (dd/mm/yyyy), oplicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1.	N/A		
_			
_			
_	(/ /)		
_	shares (Note 1)		
_			
2.	N/A		
-			
-			
-	(/ /)		
-	shares (Note 1)		
-	, , , , , , , , , , , , , , , , , , , ,		
3.	N/A		
-			
-			
-	(/ /)		
-	shares (Note 1)		
_			
_	Total D. (Ordinary shares)	N/A	
-	(Preference shares)	N/A	
-	(Other class)	N/A	

Other Movements in Issued Share Capital No. of new No. of new shares of shares of issuer issued issuer during the which may month be issued pursuant pursuant thereto thereto as at close of the month Type of Issue Class of shares issuable (*Note 1*) At price : State currency Issue and allotment N/A 1. Rights issue (/ / date: (dd/mm/yyyy) EGM approval date: (/ /) (dd/mm/yyyy) Class of shares issuable (*Note 1*) At price : State N/A Issue and allotment Open offer currency (/ /) date: (dd/mm/yyyy) EGM approval date: (/ /) (dd/mm/yyyy) Class of shares issuable (*Note 1*) At price : State currency Issue and allotment Placing date: (dd/mm/yyyy) (/ / EGM approval date: (/ /) (dd/mm/yyyy) Class of shares issuable (*Note 1*) Issue and allotment Bonus issue N/A date: (dd/mm/yyyy) EGM approval date: (/ /) (dd/mm/yyyy)

For Main Board and GEM listed issuers

							No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the
	Type of Issue							month
				Class of shares issuable (Note 1)				
5.	Scrip dividend	ate irrency —	N/A	Issue and allotment date: (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
				Class of shares repurchased (<i>Note 1</i>)				
6.	Repurchase of shares		N/A	Cancellation date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
				Class of shares redeemed (<i>Note 1</i>)				
7.	Redemption of shares		N/A	Redemption date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
				Class of shares issuable (Note 1)				
8.	Consideration issue	ate	N/A	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		

For Main Board and GEM listed issuers

N/A

							No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as a close of the
	Type of Issue							month
					Class of shares issuable (Note 1)	; <u> </u>		
9.	Capital reorganisation			N/A	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
					EGM approval date: (dd/mm/yyyy)	(/ /)		
								<u> </u>
10.	Other (Please specify) -Awards of shares under the HSBC International Employee	At price :	State currency		Class of shares issuable (Note 1) Issue and allotment date: (dd/mm/yyyy)	<u>Shares</u>		
	Share Purchase			(i) £ 4.8865		(i) (20/01/2016)		
	Plan (for item (i))		-	(/)	EGM approval date: (dd/mm/yyyy)	(N/A)		
							(i) 962	
					Total E.	(Ordinary shares (Preference shares (Other class	s) N/A	_
	Total increase	/ (decrease) in ordinar	y shares during	the month (i.e. Total of A to	o E): (1)	1,171,3	386
						(2)	N/A	1
	Total increase	/ (decrease) in prefere	nce shares durin	g the month (i.e. Total of A	to E):	N/A	1

Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):

(These figures should be the same as the relevant figures under II above ("Movements in Issued Share Capital").)

Remarks (if any):
1. The UK Companies Act 2006 has abolished the concept of authorised share capital with effect from
1 October 2009. To reflect this, the new Articles of Association adopted with effect from 1 October 2009
has been amended to remove any reference to authorised share capital.
2. Callable September 2022 and Every Five Years Thereafter.
3. Callable September 2024 and Every Five Years Thereafter.
4. Callable January 2020 and Every Five Years Thereafter.
5. Callable March 2025 and Every Five Years Thereafter.
6. Callable September 2023 and Every Five Years Thereafter.
Submitted by: William S M Tam
Title: Authorised Signatory
(Director, Secretary or other duly authorised officer)
Notes:

State the class of shares (e.g. ordinary, preference or other).

If there is insufficient space, please append the prescribed continuation sheet.

1.

2.