



Holly Futures

(a joint stock company incorporated in the People's Republic of China (the "PRC" or "China") with limited liability under the Chinese corporate name 弘業期貨股份有限公司 and carrying on business in Hong Kong as Holly Futures) (the "Company")
(Stock Code: 3678)

FORM OF PROXY FOR USE AT THE ANNUAL GENERAL MEETING TO BE HELD ON 6 JUNE 2019 OR ANY ADJOURNMENT THEREOF

| | |
|--|-----------------|
| Number of shares to which this form of proxy relates ^(Note 1) | Domestic Shares |
| | H Shares |

I/We ^(Note 2) _____
of _____
being the registered holder(s) of ^(Note 1) _____ H share(s)/domestic share(s) ^(Note 3) of RMB1.00 each in the share capital of the Company, **HEREBY APPOINT** ^(Note 4) **THE CHAIRMAN OF THE MEETING** or _____
of _____
as my/our proxy to attend at the annual general meeting of the Company (the "Meeting") (and at any adjournment thereof) to be held at Conference Room, 9/F, Holly Tower, No. 50 Zhonghua Road, Nanjing, the PRC on Thursday, 6 June 2019 at 2:00 p.m. for the purpose of considering and, if thought fit, passing the following resolutions as set out in the notice convening the Meeting of the Company dated 18 April 2019, and vote for me/us and in my/our names in respect of the resolutions as indicated below, or, if no such indication is given, as my/our proxy thinks fit.

| ORDINARY RESOLUTIONS | | FOR ^(Note 4) | AGAINST ^(Note 4) | ABSTAIN ^(Note 4) |
|----------------------|---|-------------------------|-----------------------------|-----------------------------|
| 1. | To consider and approve the 2018 annual report, including (i) the H Shares annual report of the Company for the year ended 31 December 2018 and (ii) the annual report of the Company for the year ended 31 December 2018 prepared in accordance with the relevant regulations and requirements of the China Securities Regulatory Commission | | | |
| 2. | To consider and approve the report of the board of directors (the "Board") of the Company for the year ended 31 December 2018 | | | |
| 3. | To consider and approve the report of the supervisory committee of the Company for the year ended 31 December 2018 | | | |
| 4. | To consider and approve the final financial report of the Company for the year ended 31 December 2018 | | | |
| 5. | To consider and approve the profit distribution plan of the Company and declaration of final dividend for the year ended 31 December 2018 | | | |
| 6. | To consider and approve the re-appointment of KPMG Huazhen LLP as the PRC auditor and KPMG as the Hong Kong auditor of the Company to hold office until the conclusion of the next annual general meeting of the Company, and to authorise the general manager's office of the Company to fix their remuneration at its meetings | | | |
| 7. | To authorise the board of directors of the Company to fix the remuneration package of the directors and supervisors of the Company for the year ended 31 December 2018 | | | |
| 8. | To consider and approve the proposed changes in use of proceeds from the H share global offering of the Company | | | |

For details of the above resolutions, please refer to the Company's circular dated 18 April 2019.

Dated this _____ day of _____ 2019 Signature(s) ^(Note 5) _____

Notes:

- Please insert the number and type of share(s) registered in your name(s) relating to this form of proxy. If no number is inserted, this form of proxy will be deemed to relate to all of the shares in the capital of the Company registered in your name(s).
- Full name(s) and address(es) to be inserted in **BLOCK CAPITALS**.
- If any proxy other than the Chairman is preferred, please strike out "THE CHAIRMAN OF THE MEETING" here inserted and insert the name and address of the proxy desired in the space provided. You may appoint one or more proxies to attend the Meeting. A proxy need not be a shareholder of the Company but must attend the Meeting in person to represent you. **IF NO NAME IS INSERTED, THE CHAIRMAN OF THE MEETING WILL ACT AS PROXY. ANY ALTERATION MADE TO THIS FORM OF PROXY MUST BE INITIALED BY THE PERSON WHO SIGNS IT.**
- IMPORTANT: IF YOU WISH TO VOTE FOR THE RESOLUTIONS, TICK THE APPROPRIATE BOXES MARKED "FOR". IF YOU WISH TO VOTE AGAINST THE RESOLUTIONS, TICK THE APPROPRIATE BOXES MARKED "AGAINST". IF YOU WISH TO ABSTAIN FROM VOTING ON ANY RESOLUTION, PLEASE TICK IN THE BOX MARKED "ABSTAIN", AND YOUR VOTING WILL BE COUNTED IN THE TOTAL NUMBER OF VOTES CAST IN THAT RESOLUTION FOR THE PURPOSE OF CALCULATING THE RESULT OF THAT RESOLUTION.** Failure to complete any or all of the boxes will entitle your proxy to cast his/her/its votes at his/her/its discretion. Your proxy will also be entitled to vote at his discretion on any resolution properly put to the Meeting other than those referred to in the notice convening the Meeting.
- Any shareholder of the Company ("Shareholder") entitled to attend and vote at the Meeting shall be entitled to appoint another person (who must be an individual) as his/her/its proxy to attend and vote instead of him/her/it and a proxy so appointed shall have the same right as the Shareholder to speak at the Meeting. On a poll, votes may be given either personally or by proxy. A proxy need not be a Shareholder. A Shareholder may appoint more than one proxy to attend on the same occasion.
- This form of proxy must be signed by you or your attorney duly authorised in writing or, in the case of a corporation, must be either executed under its seal or under the hand of an officer or attorney duly authorised. If the proxy form is signed by an attorney of the shareholder, the power of attorney authorising that attorney to sign or other document(s) of authorisation must be notified.
- In order to be valid, this form of proxy for the Meeting must be deposited by hand or post, for holders of H Shares of the Company, to the H share registrar of the Company, Computershare Hong Kong Investor Services Limited at 17M Floor, Hopewell Centre, 183 Queen's Road East, Wanchai, Hong Kong, and, for holders of Domestic Shares of the Company, to the headquarters of the Company in the PRC not less than 24 hours before the time for holding the Meeting (i.e. before 2:00 p.m. on Wednesday, 5 June 2019) (or any adjournment thereof) for taking the poll. If the form of proxy is signed by a person under a power of attorney or other authority, a notarial copy of that power of attorney or authority shall be deposited at the same time as mentioned in the proxy form. Completion and return of the form of proxy will not preclude shareholders from attending and voting in person at the Meeting or any adjourned meetings should they so wish.
- In case of joint shareholder for any share, only the person whose name is at the first place on the register of members has the rights to receive the certificate of relevant shares and notice from the Company and to attend or exercise all of the votes relating to the shares.
- Shareholders or their proxies shall provide their identity documents when attending the Meeting.
- The address of the headquarters of the Company in the PRC is No. 50 Zhonghua Road, Nanjing, the PRC.