



**國泰君安證券股份有限公司**  
**Guotai Junan Securities Co., Ltd.**

*(A joint stock company incorporated in the People's Republic of China with limited liability)*

**(Stock Code: 02611)**

**LIST OF DIRECTORS AND THEIR ROLES AND FUNCTIONS**

The members of the board of directors (the “**Board**”) of Guotai Junan Securities Co., Ltd. (the “**Company**”) are set out below:

**Executive Directors**

Mr. Yang Dehong (*Chairman*)

Mr. Wang Song

Mr. Yu Jian

**Non-executive Directors**

Mr. Fu Fan

Ms. Guan Wei

Mr. Zhong Maojun

Mr. Zhou Lei

Mr. Wang Wenjie

Mr. Lin Facheng

Mr. Zhou Hao

**Independent Non-executive Directors**

Mr. Xia Dawei

Mr. Shi Derong

Mr. Chen Guogang

Mr. Ling Tao

Mr. Jin Qingjun

Mr. Lee Conway Kong Wai

There are four Board committees. The table below provides membership information of these committees on which each Board member serves:

<b>Board Committee</b> <b>Directors</b>	<b>Strategy Committee</b>	<b>Risk Control Committee</b>	<b>Audit Committee</b>	<b>Remuneration Appraisal and Nomination Committee</b>
Mr. Yang Dehong	Chairman			
Mr. Wang Song		Member		
Mr. Fu Fan	Member	Chairman		
Mr. Zhong Maojun		Member		
Mr. Zhou Lei			Member	
Mr. Xia Dawei			Member	Chairman
Mr. Chen Guogang			Chairman	Member
Mr. Ling Tao	Member	Member		
Mr. Jin Qingjun			Member	Member

Shanghai, the PRC  
5 August 2019